

## U.S. Indicia Checklist (Individual & Juristic Person)

**Only for shareholder wishing to deposit securities into the Issuer Account**

Date.....

I / We.....

ID Card / Passport / Juristic Person Registration No.....

### **For Individual**

- |   |   |
|---|---|
| 1. I was born in the United States of America (U.S.A.)              | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| 2. I am a U.S. citizen or I have the second nationality as the U.S. | <input type="checkbox"/> Yes* <input type="checkbox"/> No |
| 3. I have permanent residence in U.S.A.                             | <input type="checkbox"/> Yes* <input type="checkbox"/> No |

***If your answer(s) is/are “Yes” in any of the above answers, you are defined as “the U.S. Indicia” under FATCA. TSD therefore reserves the rights not to deposit your securities into the Issuer Account. Please select other methods of securities holding.***

### **For Juristic person**

- |  |  |
|--|--|
| 1. Registered in U.S.A.  | <input type="checkbox"/> Yes * <input type="checkbox"/> No |
| 2. Is a financial institution under FATCA  | <input type="checkbox"/> Yes * <input type="checkbox"/> No |
| If "Yes", please enter GIIN No. <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> and specify type <input type="checkbox"/> PFFI <input type="checkbox"/> RDCFFI |  |
| 3. Is an entity with investment income / indirect income of 50% or more of total income, or having income-generating properties gaining 50% or more of total assets in the latest accounting period.   | <input type="checkbox"/> Yes * <input type="checkbox"/> No |

***If your answer(s) is/are “Yes” in #1 and/or #3, you are defined as “the U.S. Indicia” under FATCA. TSD therefore reserves the rights not to deposit your securities into the Issuer Account. Please select other methods of securities holding.***

I hereby certify that the above statement is true. If depositing securities into the Issuer Account causes any damage to Thailand Securities Depository Co., Ltd. (TSD) in any way whatsoever. I accept the responsibility and indemnity to Thailand Securities Depository Co., Ltd.

In addition, I acknowledge that TSD may disclose the information contained in this document to the Revenue Department, or other agencies having legal authorities, or having an agreement with TSD to obtain such information.

Sign..... shareholder  
 (.....) Tel. ....